Docket No.: 0649-1070PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Tatsuya IGARASHI et al.

Application No.: 10/530,289

Confirmation No.: 7753

Filed: April 5, 2005

Art Unit: 1794

For: ORGANIC ELECTROLUMINESCENT

DEVICE

Examiner: D. L. Garrett

## **DECLARATION UNDER 37 C.F.R. § 1.132**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Madam:

I, Toshihiro Ise, declare and say as follows:

I am named as a co-inventor of the above-identified application.

I have carried out additional comparative testing myself or under my supervision. Test procedures and results are shown below.

## **Additional Comparative Testing**

Additional Comparative Examples 55-108 were prepared under the same concentration conditions as Example 8. The results of Additional Comparative Examples 55-108 are shown in the attached Table. Additional Comparative Examples 1-54 are the same as the examples provided with the Rule 132 Declaration filed on August 11, 2008. These examples are repeated in the attached Table for convenience. Some combinations of host materials disclosed in U.S. Patent Application Publication No. 2002/0125818 to Sato et al. (hereinafter, "Sato '818") are not effective to show the many examples where no luminescence was available. These results are shown as "No light emission."

The present invention uses carbazole compound (A-10) and arylamine compound (C-10) as a hole transporting host; imidazole compounds (ET-1, ET-2), triazine compound (A-28), aromatic hydrocarbon compounds (C-18 and C-22), and aluminum complex compound (B-68) as an electron transporting host; and homoleptic iridium complex (G-1) and heteroleptic iridium complex (G-2) as a phosphorescent material. Thus, many compounds are shown to be effective without limitation for the structure. As further support, inventive Additional Examples 3-26 were conducted to provide examples where the luminescent material, hole transporting layer material, electron transporting layer material, and cathode are changed and where the combination and concentration of the hosts are changed. These results are also shown in the attached Table.

As is apparent from the results shown in the attached Table, the devices of the Additional Comparative Examples provide bad performance in operation durability and external quantum efficiency.

The data already of record in the specification and the supplemental data submitted herewith demonstrate superior results of the claimed organic electroluminescent device over those of the cited references:

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S. Code 1001 and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

By: Toshihiro Ise Date: Jun. 9, 2009

Dr. Toshihiro Ise

, 600	No light emission	
7001	6,00%	_
66	0 90%	Example 51
	No light emission	-
	No light emission	Additional Comparative Examples 1TO/Cubc 100/NBDX50/80%H-48+10%H-51+10%H-my3730/ET-2/35V1 iF/A
	No light emission	
	No right emission	٠.
20h	0.10%	_
	No light emission	+
	No light emission	
	No light emission	_
	No light emission	_
	No light emission	
	No light emission	
	No light emission	
450h	1.30%	Additional Comparative Examples / 1110/Curc(10)/NPD(30)/80%CBP+10%BCP+10%lr(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	
	No light emission	
	No light emission	
	No light emission	٠.
10h	0.50%	_
3Ь	0.10%	_
	No light emission	dditional Comparative Example30  ITO/CuPc(10)/NPD(50)/80%H-137+10%TPBI+10%Ir(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	l
	No light emission	dditional Comparative Example28 ITO/CuPc(10)/NPD(50)/80%H-148+10%TPBI+10%Ir(ppy)3(36)/ET-2(36)/LiF/AI
ļ	No light emission	Additional Comparative Example27 ITO/CuPc(10)/NPD(50)/80%H-139+16%TPBI+10%fr(ppy)3(36)/ET-2(36)/LiF/AI
	No light emission	
	1.20%	
OUL	1 20%	dditional Comparative Example 4   ITO/ChPc(10)/NPD/50/80%H-101+10%LPBH-10%LPBH-10%JCDW-1-209/LFFAI
800	0.40%	
2	No light emission	
	No light emission	
	No light emission	
33h	0.20%	—
40h	0.30%	
100h	0.60%	١
1851	0.30%	
	No light emission	Comparative Example 14
2003	No light emission	
2001	0.10%	_
	No light emission	
1	No light emission	의
404	0.70%	dditional Comparative Example9   ITO/CuPc(10/NPD)(50)/80%H-33+10%TPBH+10%Hrpnx)/13/(FT-2736/11F/A1
315	uoissuus jugii ovi	dditional Comparative Examples   ITO(C) Pe(10) NPDY50/38041-10-10 Virtual Physiology Victory V
	No light emission	_
25h	0.20%	4
	No light emission	┺.
38h	0.10%	
	No light emission	L
45h	0.10%	L
1500h	6.80%	Additional Example 1   ITO/CuPc(10)/NPD(50)/80%CBP+10%TPBI+10%Ir(ppy)3(36)/ET-2(36)/LiF/AI
@500cd/m		
durghility	External quantum	

	No light emission	Additional Comparative Example 10811 O/Curc(10)/NFD(30)85.9%CBF+8.5%H-52+5.6%ir(ppy)3(36)/E1-2(36)/LiF/Al
	No light emission	Additional Comparative Example 10 JITO/CuPc(10)/NPD(50)/85 9%CBP+8.5%H-51+5.6%lr(ppy)3(36)/ET-2(36)/LiF/AI
850h	6.00%	Additional Comparative Example 10d ITO/CuPc(10)/NPD(50)/85.9%CBP+8.5%H-70+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/AI
70h	0.90%	Additional Comparative Example 103[TTO/CuPc(10)/NPD(50)/85.9%H-66+8.5%H-70+5.6%lr(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	Additional Comparative Example 104ITO/CuPc(10)/NPD(50)/85.9%H-51+8.5%H-56+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	
	No light emission	Additional Comparative Example 103/170/170/170/170/170/170/170/170/170/170
	No light emission	
30h	0.10%	Additional Comparative Examples 9   1 U/Cutre (U)/NrU/20185.9%H-24+8.5%BC/F-5.6%Ir(pp)/3(36)/E1-2(36)/LIFA1  Additional Comparative Examples 9   1 U/Cutre (U)/NrU/20185.0%H-24+8.5%BC/F-5.6%Ir(pp)/3(36)/E1-2(36)/LIFA1  Additional Comparative Examples 9   1 U/Cutre (U)/NrU/20185.0%H-24-8.5%BC/F-5.6%H-24-8.5%BC/F-5.6%Ir(pp)/3(36)/E1-2(36)/LIFA1  Additional Comparative Examples 9   1 U/Cutre (U)/NrU/20185.0%H-24-8.5%BC/F-5.6%BC/F-5.6%H-24-8.5%BC/F-5.6%H
	No light emission	
	No light emission	-
450h	1.30%	
	No light emission	-
	No light emission	Additional Comparative Examples ITO/CuPe(10)NPD(50)85-9/XDD-1-8-3/XTPBH-5-9/8/HTmV3/34/XFT-2/34/A/ FF/AI
	No light emission	Additional Comparative Examples (11.0/cur q10)/rs 12.09/s0.3761-21376.3761 ES173.0761(pby)3(30)/E1-2(30)/LIF/AI  Additional Comparative Examples (170)/Pbr (10)/NEDT(50)85 (94,870-2)8-21376.3761 ES173.0761(pby)3(30)/E1-2(30)/LIF/AI  Additional Comparative Examples (11.0/cur q10)/NEDT(50)85 (94,870-2)8-21376.3761(pby)3(30)/E1-2(30)/LIF/AI  Additional Comparative Examples (11.0/cur q10)/LIF/AI  Additional Co
IUD	0.30%	-
334	0.10%	Additional Comparative Examples
	No light emission	_
	No light emission	Additional Comparative Example83   ITO/CuPc(10)/NPD(50)/85.9%H-132+8.5%TPB1+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	_
	No light emission	Additional Comparative Example81   ITO/CuPc(10)/NPD(50)/85.9%H-139+8.5%TPBI+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al
	No light emission	$\rightarrow$
70h	1.20%	_
44h	1.20%	Additional Comparative Examiple: 7: 11.07-cm 4(19):11.107/80.27811-11-17-0781(DJV))2(39):11-12(39):11-17-14  Additional Comparative Examiple: 7: 11.07-cm 4(19):11.107/80.2781-101-18-98-0781H-18-18-0781(DJV)2(39):11-17-18-18-18-18-18-18-18-18-18-18-18-18-18-
nc/	0.40%	<del>.   .</del>
751	No light emission	_
	No light emission	-
	No light emission	
30h	0.20%	-
45h	0.30%	
112h	0.60%	_
1001	morssims uran on	Additional Comparative Examples of 10 Cup Ct 10 NPD/S0/88, 9% CPP-8, 5% L-7-3-3-7-8 L/DF/7/2-7-7-7-7-7-7-7-7-7-7-7-7-7-7-7-7-7-7
	No light emission	
190h	0.10%	_
	No light emission	
724	No light emission	
434	0.10%	Additional Comparative Examples at Information 1975 1985 984-13-38 587 1981-3-38 1987 1987 1987 1987 1987 1987 1987 198
3	No light emission	-
	No light emission	
26h	0.20%	
	No light emission	Example58
41h	0.10%	
0110	No light emission	
415	0.10%	ve Example55
3800h	7 00%	Additional Example2 [ITO/CuPc/10)/NPD/50)/85.9%CBP+8.5%TPBI+5.6%Ir/pnv)3(36)/ET-2/36)/1 iF/Al
durability	External quantum	
Operation		

			Operation	
		External quantum	durability	
		efficiency	@500cd/m	1
Example8	ITO/CuPc(10)/NPD(50)/85.9%CBP+8.5%ET-2+5.6%lr(ppy)3(36)/ET-2(36)/LiF/Al	7	3000	
Additional Example3	ITO/CuPc(10)/NPD(50)/85.9%CBP+8.5%ET-2+5.6%lir(4,6-F2ppy)2acac(36)/ET-2(36)/LiF/AI	8.00%	2100h	UDC phosphorescent material disclosed in WO2002/015645 (cited on page 33 of the present spec.)
Additional Example4	ITO/CuPc(10)/TPD(50)/85.9%CBP+8.5%ET-2+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	8%	2500h	TPD is used for hole transporting layer
Additional Example5	ITO/CuPc(10)/TPD(50)/85.9%CBP+8.5%ET-2+5.6%Ir(ppy)3(36)/Ba 1 q (36)/LiF/Al	6.50%	2900h	Balq is used for electron transporting layer
Additional Example6	ITO/CuPc(10)/NPD(50)/85.9%CBP+8.5%ET-2+5.6%Ir(ppy)3(36)/ET-2(36)/Mg: Ag	7.70%	2900h	Cathode is changed to MgAg
Additional Example7	ITO/CuPc(10)/NPD(50)/85.9%C-17+8.5%ET-1+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.80%	1800h	Combination of hosts is changed
Additional Example8	[ITO/CuPc(10)/NPD(50)/85.9%C-12+8.5%C-20+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/AI	7.50%	1900h	Combination of hosts is changed
Additional Example9	ITO/CuPc(10)/NPD(50)/85.9%A-10+8.5%A-8+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	9.50%	1800h	Combination of hosts is changed
Additional Example 10	ITO/CuPc(10)/NPD(50)/85.9%A-10+8.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	9.00%	2750h	Combination of hosts is changed
Additional Example 11	ITO/CuPc(10)/NPD(50)/85.9%A-10+8.5%B-68+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/A1	8%	2500h	Combination of hosts is changed
Additional Example 12	ITO/CuPc(10)/NPD(50)/85.9%A-10+8.5%A-28+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.90%	2400h	Combination of hosts is changed
Additional Example 13	ITO/CuPc(10)/NPD(50)/85.9%A-10+8.5%C-20+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7%	2300h	Combination of hosts is changed
Additional Example 14	ITO/CuPc(10)/NPD(50)/85.9%C-12+8.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7.40%	2600h	Combination of hosts is changed
Additional Example 15	ITO/CuPc(10)/NPD(50)/85.9%C-17+8.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.90%	2500h	Combination of hosts is changed
Additional Example 16	ITO/CuPc(10)/NPD(50)/85.9%CBP+8.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7.40%	3000h	Combination of hosts is changed
Additional Example 17	ITO/CuPc(10)/NPD(50)/89.9%C-17+4.5%ET-1+10%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.90%	1700h	Combination of hosts is changed and concentration is changed
Additional Example 18	ITO/CuPc(10)/NPD(50)/89.9%C-12+4.5%C-20+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7.00%	1800h	Combination of hosts is changed and concentration is changed
Additional Example 19	ITO/CuPc(10)/NPD(50)/89.9%A-10+4.5%A-8+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7.00%	1850h	Combination of hosts is changed and concentration is changed
Additional Example20	ITO/CuPc(10)/NPD(50)/89.9%A-10+4.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.70%	2100h	Combination of hosts is changed and concentration is changed
Additional Example21	ITO/CuPc(10)/NPD(50)/89.9%A-10+4.5%B-68+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	7.10%	2350h	Combination of hosts is changed and concentration is changed
Additional Example22	ITO/CuPc(10)/NPD(50)/89.9%A-10+4.5%A-28+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	5.90%	2400h	Combination of hosts is changed and concentration is changed
Additional Example23	ITO/CuPc(10)/NPD(50)/89.9%A-10+4.5%C-20+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/A1	6.40%	2000h	Combination of hosts is changed and concentration is changed
Additional Example24	ITO/CuPc(10)/NPD(50)/89.9%C-12+4.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/Al	6.30%	2100h	Combination of hosts is changed and concentration is changed
Additional Example25	ITO/CuPc(10)/NPD(50)/89.9%C-17+4.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/A1	7.80%	1950h	Combination of hosts is changed and concentration is changed
Additional Example26	ITO/CuPc(10)/NPD(50)/89.9%CBP+4.5%B-10+5.6%Ir(ppy)3(36)/ET-2(36)/LiF/A1	6.70%	1900h	Combination of hosts is changed and concentration is changed